

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name, that I believe I am the original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention or design entitled TRUE PARALLEL CLIENT SERVER SYSTEM AND METHOD, the specification of which (check one):

X is attached hereto; or

___ was filed on _____ as Application Serial No. _____

and was amended on _____ (if applicable);

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and that I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Number</u>	<u>Country</u>	<u>Date Filed</u>	<u>Priority Claimed (Yes) (No)</u>
N/A			

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all

information known to me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

<u>Application Serial Number</u>	<u>Date Filed</u>	<u>Status</u>
N/A		

I hereby appoint:

Jerry W. Mills	Reg. No. 23,005
Robert M. Chiaviello, Jr.	Reg. No. 32,461
Ann C. Livingston	Reg. No. 32,479
Thomas R. Felger	Reg. No. 28,842
Charles S. Fish	Reg. No. 35,870
Robert H. Johnston III	Reg. No. 37,364
Wei Wei Jeang	Reg. No. 33,305
Kevin J. Meek	Reg. No. 33,738
Anthony E. Peterman	Reg. No. 38,270
Barton E. Showalter	Reg. No. 38,302
David G. Wille	Reg. No. 38,363
Christopher J. Rourk	Reg. No. 39,348
Philip W. Woo	Reg. No. 39,880
Bradley P. Williams	Reg. No. 40,227
Terry J. Stalford	Reg. No. 39,522
Christopher W. Kennerly	Reg. No. 40,675
Daniel P. Stewart	Reg. No. 41,332
Roger J. Fulghum	Reg. No. 39,678
Rodger L. Tate	Reg. No. 27,399
Scott F. Partridge	Reg. No. 28,142
James B. Arpin	Reg. No. 33,470
James Remenick	Reg. No. 36,902
Jay B. Johnson	Reg. No. 38,193
Christopher C. Campbell	Reg. No. 37,291
Stacy B. Margolies	Reg. No. 39,760
Robert W. Holland	Reg. No. 40,020
Steven R. Sprinkle	Reg. No. 40,825

all of the firm of Baker & Botts, L.L.P., my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent

and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities.

Send Correspondence To:

Baker & Botts, L.L.P.
2001 Ross Avenue
Dallas, Texas 75201-2980

Direct Telephone Calls To:

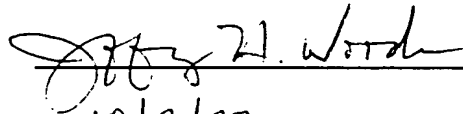
Kevin J. Meek, Esq.
at (214) 953-6680
Atty. Docket No. 064157.0102

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Full name of the first inventor

Jeffrey Herbert Woods

Inventor's signature



10/9/97

Date

Residence (City, County, State)

Dallas, Dallas County, Texas

Citizenship

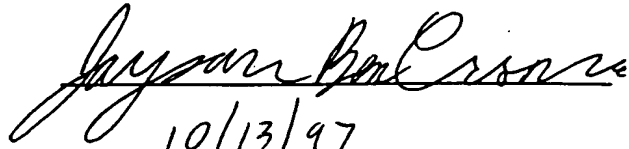
Post Office Address

United States of America
2814 Princewood Drive
Garland, Texas 75040

Full name of second joint inventor, if any

Jayson Ben Crisman

Inventor's signature



Date

10/13/97

Residence (City, County, State)

Dallas, Collin County, Texas

Citizenship

United States of America

Post Office Address

102 Lindenwood Street
Lancaster, Texas 75134

090303-03204

ASSIGNMENT

WHEREAS, I, one of the undersigned joint inventors, of residence as listed, having invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been executed on the date set forth below; and

WHEREAS, BEA Systems, Inc. (hereinafter referred to as "Assignee"), a Texas limited partnership, with an address of 17101 Preston Road, Dallas, Texas 75248, desires to acquire our entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issue thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we assign to Assignee all right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and we hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as our interest is concerned, to Assignee.

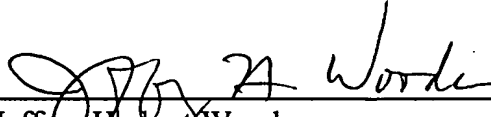
We also assign to Assignee, all right, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world, including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and we further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to perfect such right, title and interest in Assignee.

We will communicate to Assignee any facts known to us respecting any improvements; and, at the expense of Assignee, we will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries.

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention: TRUE PARALLEL CLIENT SERVER SYSTEM AND METHOD

Signature of Inventor:
Inventor's Name:
Residence (City, County, State)


Jeffrey Herbert Woods
Garland, Dallas County, Texas

Date:

10/9/97

Date Application Executed:

10/9/97

ATTORNEY'S DOCKET
064157.0102

PATENT
08/950,493

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jeffrey Herbert Woods et al.
U.S. Patent Serial No.: 08/950,493
Recordation Date: October 14, 1997
Title: TRUE PARALLEL CLIENT SERVER SYSTEM AND METHOD

U. S. Patent and Trademark Office
ASSIGNMENT DIVISION
BOX ASSIGNMENTS
North Tower Building, Suite 10C35
Washington, D.C. 20231

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Assignment Division, Box Assignments, North Tower Building, Suite 10C35, Washington, D.C. 20231, on the date shown below.

D'Anna S. Friedrich
Name

03-02-98
Date

CORRECTION OF RECORDED ASSIGNMENT COVER SHEET ERROR

Applicants have noted an error in the cover sheet for the assignment document previously recorded on October 14, 1997, Reel 8787, Frames 0534-0537.

Attached hereto is the originally recorded document. Also attached is a corrected cover sheet for recordation.

The error in the originally recorded cover sheet is apparent when that cover sheet is compared with the recorded document because the Assignee's name is incorrectly spelled.

The recording fee is shown in the corrected cover sheet filed herewith. Payment of this recording fee is in the manner set forth in the corrected cover sheet.

ATTORNEY'S DOCKET
064157.0102

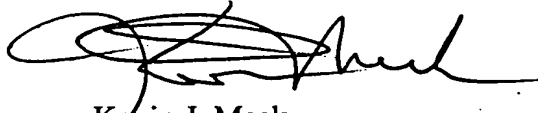
PATENT
08/950,493

2

Respectfully submitted this 25 of February, 1998.

BAKER & BOTTS, L.L.P.

Attorneys for Applicants

A handwritten signature in black ink, appearing to read 'Kevin J. Meek', with a stylized, cursive flourish extending to the right.

Kevin J. Meek
Reg. No. 33,738

2001 Ross Avenue
Dallas, Texas 75201-2980
(214) 953-6680
(kwl)

FORM PTO-1595
1-31-92RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name and Address of Conveying Party(ies):

Jeffrey Herbert Woods
2814 Princewood Drive
Garland, Dallas County, Texas 75040Individual/Citizenship: United States of America

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

2. Name and Address of receiving Party(ies):

Name: BEA Systems, Inc.

Internal Address:

Street Address: 17101 Preston Road

City: Dallas

State: TX Zip: 75248-1370

3. Nature of conveyance:

☒

Assignment

☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date:

Additional name(s) & address(es)
attached?☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 9 and October 13, 1997

A. Patent Application No.(s) 08/950,493

B. Patent No.(s)

Additional Numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin J. Meek, Esq.
Internal Address: BAKER & BOTTS, L.L.P.
Street Address: 2001 Ross Avenue
City: Dallas
State: Texas Zip: 75201-2980

6. Total number of applications and patents involved: One

7. Total Fee (37 CFR 3.41): \$40.00

☒

Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach Duplicate Copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin J. Meek

Name of Person Signing

Signature

February 23, 1998

Date

Total number of pages including cover sheet

4

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information:

Commissioner of Patent and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

01-16-1998



100602393

(ATTORNEY'S DOCKET 064157.0102)

Sheet 1

FORM PTO-1595
1-31-92RECORDATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name and Address of Conveying Party(ies):

Jeffrey Herbert Woods
2814 Princewood Drive
Garland, Dallas County, Texas 75040Individual/Citizenship: United States of America

Additional name(s) of conveying party(ies) attached?

☒ Yes ☐ No

MAD 10-14-97

3. Nature of conveyance:

☒

Assignment

☐ Merger☐ Security Agreement☐ Change of Name☐ Other

Execution Date: October 9 and October 13, 1997

2. Name and Address of receiving Party(ies):

Name: BEA System, Inc.

Internal Address:

Street Address:

17101 Preston Road

City:

Dallas

State: TX

Zip: 75248-1370

Additional name(s) & address(es)
attached?☐ Yes☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: October 9 and October 13, 1997

A. Patent Application No.(s)

B. Patent No.(s)

Additional Numbers attached?

☐ Yes☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kevin J. Meek, Esq.
Internal Address: BAKER & BOTTS, L.L.P.
Street Address: 2001 Ross Avenue
City: Dallas
State: Texas Zip: 75201-2980

6. Total number of applications and patents involved: One

7. Total Fee (37 CFR 3.41): \$40.00 E

☒

Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach Duplicate Copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kevin J. Meek

Name of Person Signing

Signature

October 14, 1997

Date

Total number of pages including cover sheet

4

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information:

Commissioner of Patent and Trademarks
Box Assignments
Washington, D.C. 20231

Public burden reporting for this sample cover sheet is estimated to average about 30 minutes per document to be recorded, including time for reviewing the document and gathering the data needed, and completing and reviewing the sample cover sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems, PK2-1000C, Washington, D.C. 20231, and to the Office of Management and Budget, Paperwork Reduction Project, (0651-0011), Washington, D.C. 20503.

11/13/97 01 FC:501

FORM PTO-1595
ATTACHMENT

Name of Conveying Parties - Continued - ALL INDIVIDUALS

Jayson Ben Crisman
102 Lindenwood Street
Dallas, Dallas County, Texas 75134
Citizenship - United States of America



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JANUARY 21, 1998

PTAS

BAKER & BOTTS, L.L.P.
KEVIN J. MEEK, ESQ.
2001 ROSS AVENUE
DALLAS, TX 75201-2980



100602393A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 10/14/1997

REEL/FRAME: 8787/0534
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
WOODS, JEFFREY HERBERT

DOC DATE: 10/09/1997

ASSIGNOR:
CRISMAN, JAYSON BEN

DOC DATE: 10/13/1997

ASSIGNEE:
BEA SYSTEMS INC.
17101 PRESTON ROAD
DALLAS, TEXAS 75248-1370

SERIAL NUMBER: 08950493
PATENT NUMBER:

FILING DATE:
ISSUE DATE:

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

Baker & Botts, L.L.P.

JAN 28 1998

RECEIVED